



## INFORMATION REQUEST FORM

Please use **BLOCK CAPITALS** throughout

Powered by Pension Performance Review Process Version 13

Client Name	
Gender	Male Female
Date of Birth	/ /
Marital Status	Married Civil Partnership Single Divorced Widowed Separated Unknown
Spouse/Partner Date of Birth (if applicable)	N/A / /
Expected Retirement Age	
Employment Status	Employed Self Employed Unemployed Retired Unknown
Current Pensionable Remuneration (if applicable) (Do not include dividends)	£
Employer (if applicable) (include Limited, Ltd, etc.)	N/A
Job Title (if applicable)	N/A
Commenced Service	N/A / /
Name Of SSAS (if applicable) (Please state full name as it appear of documentation - do not abbreviate)	N/A
Taxpayer Status	Additional Rate Higher Rate Basic Rate Non Taxpayer Unknown
Health Status	Excellent Good Average Poor Unknown
Smoker	Yes No Unknown
Number Of Dependants (excluding spouse/civil partner) (Any children under 23 years of age, or ANY PERSON over 23 years of age who is still financially dependant on the client)	None 1 2 3 4 5 6 7 8 9 10 Unknown
Required Annual Income In Retirement: (To nearest £1,000)	Unknown £ _____ per annum
Number Of Policies To Analyse (Separate policies with protected and non-protected rights elements into two policies)	1 2 3 4 5 6 7 8
Date Of Initial Meeting With Client (Date at which client was provided with a 'Client Agreement' and the 'Information About Our Services' documents)	/ /
Final Date All Information Obtained	/ /

# - POLICY 1 -

\*\*\*PLEASE SEPARATE POLICIES WITH PROTECTED AND NON-PROTECTED RIGHTS ELEMENTS INTO TWO POLICIES\*\*\*



Provider				
Policy Number				
Policy Retirement Age <small>(please state the actual retirement age to which the policy is written, not necessarily the age to which projections have been obtained)</small>				
Pension Type	PP GPP SHD GSHD RAC SIPP EPP (in SSAS) S32 AVC FSAVC CIMPS COMPS			
Protected or Non-Protected Rights <small>(If Protected Rights, ensure PAID UP projections are obtained)</small>	NPR		PR	
Gross Monthly Premium <small>(net of any life insurance payments, WOP, etc.) (IF RECEIVING CONTRACTED OUT NICO PAYMENTS, PLEASE JUST CHECK IF CONTRACTED OUT AND ENTER LAST ANNUAL NICO PAYMENT)</small>	£	Ee: _____	Er: _____	Last Annual NICO Amount: £ _____
Annual Premium (month paid) <small>(net of any life insurance payments, WOP, etc.)</small>	£	Ee: _____	Er: _____	Month Paid: _____
Premium Escalation (if applicable) <small>Actual Percentage, ie, not NAEI</small>	N/A _____ % pa			
Fund Value	N/A	£	Assumed from TV? Yes No	
Transfer Value	£			
Valuation Date	/ /			
Projection at 5% or enter % rate here (_____) <small>(if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page) (ENSURE PROTECTED RIGHTS POLICIES ARE QUOTED PAID UP)</small>	£	Projected to age: _____		
Paid Up Projection at 5% or enter % rate here (_____) <small>(if applicable) (if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page)</small>	N/A	£	Projected to age: _____	
Is Fund Projection Guaranteed?	Yes		No	
Death Benefit <small>(eg. Return of Fund, or return of premiums plus 5% per annum compound)</small>				
Occupational PCLS Entitlement	N/A	SSAS	25% Only	A-day PCLS %: _____ % A-day PCLS Value: £ _____ No transfers in/out since A-day (or) Current PCLS %: _____ %
If Occupational (EPP, CIMPS, COMPS), The Client Has Pension Scheme Administration Responsibilities	N/A		Yes	No
GAR (if so, then details)	No	_____ %	At age: _____	G'teed period: _____ yrs _____ life basis _____ % esc. Other bases/ages available: Yes No
MVR (if so, then details) <small>Give Details of any MVR Free Date', or if no MVR free date, please state</small>	No	Current MVR: £ _____	Factored into TV: Yes No	MVR Free date(s): _____
Early Retirement Penalties (if so, then details)	No			
Pension Contribution Insurance (if so, then details)	No	Cost pm: £ _____		Deferred period: _____ weeks
Life Insurance Element (if so, then details) <small>(IS IT POSSIBLE TO TRANSFER THIS POLICY AND MAINTAIN THE LIFE INSURANCE ELEMENT?)</small>	No	Cover: £ _____	Cost pm: £ _____	Possible to transfer & maintain: Yes No
GMP (if so, then details)	No	GMP p.a. at retirement: £ _____		Of which Pre-'88: £ _____ plus Post-'88: £ _____



Policy AMC  
(single % only - include any fund charges in single figure if stated)

_____ %
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Additional Policy Charges Notes (if so, then details)

No
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Any Additional 'Implicit' Charges (if so, then details)  
These are charges which will not be taken into account on the projections but are taken directly from the underlying fund performance, eg. Traditional With Profits and some other policies

No
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If Occupational, Have These Benefits Been Transferred Since 06.04.06

N/A      Yes      No
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If Occupational & PCLS >25%, Is This A One Member Scheme Or Is There A Potential 'Transfer Buddy' (eg. partner)

N/A    NO    1 Mem Scheme    Transfer Buddy    Buddy pol. no: _____ Transfer buddy name: _____
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Total Funds Available

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Number Of Available Fund Management Groups (including provider)

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Maximum Number Of Funds That Can Be Held At Any One Time

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Cost of Fund Switches  
(Please give notes if applicable - eg "First switch per annum free then £15 per switch)

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Retirement Options Within Scheme  
(please circle any option available. NB. More than one option may be available)

<input type="checkbox"/> Provider Annuity <input type="checkbox"/> Open Market Annuity <input type="checkbox"/> Scheme Pension <input type="checkbox"/> (U/A)SP (drawdown)
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Retirement Options Notes  
eg. "£100k minimum for drawdown, etc."

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Funds Held  
(PLEASE GIVE FULL FUND NAME AS APPEARS ON THE DOCUMENTATION - please give specifics if possible confusion may arise. Eg. AXA Sun Life managed fund - is this ex E&L or ex Sun Life, if With Profits, traditional or unit linked, etc.)

Fund Name	<small>(if variable by fund, % projection rate used)</small>	Percentage (to 2dps)
1.	( % )	%
2.	( % )	%
3.	( % )	%
4.	( % )	%
5.	( % )	%
6.	( % )	%
7.	( % )	%
8.	( % )	%
9.	( % )	%
10.	( % )	%
11.	( % )	%
12.	( % )	%
13.	( % )	%
14.	( % )	%
15.	( % )	%
16.	( % )	%
17.	( % )	%
18.	( % )	%
19.	( % )	%
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21.	( % )	%
22.	( % )	%
23.	( % )	%
24.	( % )	%
25.	( % )	%
26.	( % )	%
27.	( % )	%
28.	( % )	%
29.	( % )	%
30.	( % )	%
31.	( % )	%
32.	( % )	%

Other Policy Notes  
(include any other relevant information such as any guaranteed funds, with profits fund performance, etc.)

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Transfer Forms Received

Yes
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PPFM  
(or CFPPFM)  
 Received

N/A      Yes
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# - POLICY 2 -

\*\*\*PLEASE SEPARATE POLICIES WITH PROTECTED AND NON-PROTECTED RIGHTS ELEMENTS INTO TWO POLICIES\*\*\*



Provider				
Policy Number				
Policy Retirement Age <small>(please state the actual retirement age to which the policy is written, not necessarily the age to which projections have been obtained)</small>				
Pension Type	PP GPP SHD GSHD RAC SIPP EPP (in SSAS) S32 AVC FSAVC CIMPS COMPS			
Protected or Non-Protected Rights <small>(If Protected Rights, ensure PAID UP projections are obtained)</small>	NPR		PR	
Gross Monthly Premium <small>(net of any life insurance payments, WOP, etc.) (IF RECEIVING CONTRACTED OUT NICO PAYMENTS, PLEASE JUST CHECK IF CONTRACTED OUT AND ENTER LAST ANNUAL NICO PAYMENT)</small>	£	Ee: _____	Er: _____	Last Annual NICO Amount: £ _____
Annual Premium (month paid) <small>(net of any life insurance payments, WOP, etc.)</small>	£	Ee: _____	Er: _____	Month Paid: _____
Premium Escalation (if applicable) <small>Actual Percentage, ie, not NAEI</small>	N/A _____ % pa			
Fund Value	N/A	£	Assumed from TV? Yes No	
Transfer Value	£			
Valuation Date	/ /			
Projection at 5% or enter % rate here ( _____ ) <small>(if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page) (ENSURE PROTECTED RIGHTS POLICIES ARE QUOTED PAID UP)</small>	£	Projected to age: _____		
Paid Up Projection at 5% or enter % rate here ( _____ ) <small>(if applicable) (if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page)</small>	N/A	£	Projected to age: _____	
Is Fund Projection Guaranteed?	Yes		No	
Death Benefit <small>(eg. Return of Fund, or return of premiums plus 5% per annum compound)</small>				
Occupational PCLS Entitlement	N/A	SSAS	25% Only	A-day PCLS %: _____ % A-day PCLS Value: £ _____ No transfers in/out since A-day (or) Current PCLS %: _____ %
If Occupational (EPP, CIMPS, COMPS), The Client Has Pension Scheme Administration Responsibilities	N/A		Yes	No
GAR (if so, then details)	No	_____ %	At age: _____	G'teed period: _____ yrs _____ life basis _____ % esc. Other bases/ages available: Yes No
MVR (if so, then details) <small>Give Details of any MVR Free Date', or if no MVR free date, please state</small>	No	Current MVR: £ _____	Factored into TV: Yes No	MVR Free date(s): _____
Early Retirement Penalties (if so, then details)	No			
Pension Contribution Insurance (if so, then details)	No	Cost pm: £ _____		Deferred period: _____ weeks
Life Insurance Element (if so, then details) <small>(IS IT POSSIBLE TO TRANSFER THIS POLICY AND MAINTAIN THE LIFE INSURANCE ELEMENT?)</small>	No	Cover: £ _____	Cost pm: £ _____	Possible to transfer & maintain: Yes No
GMP (if so, then details)	No	GMP p.a. at retirement: £ _____		Of which Pre-'88: £ _____ plus Post-'88: £ _____

**- POLICY 2 -**



Policy AMC  
(single % only - include any fund charges in single figure if stated)

	_____ %
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Additional Policy Charges Notes (if so, then details)

	No
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Any Additional 'Implicit' Charges:  
These are charges which will not be taken into account on the projections but are taken directly from the underlying fund performance, eg. Traditional With Profits and some other policies

	No
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If Occupational, Have These Benefits Been Transferred Since 06.04.06

N/A	Yes	No
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If Occupational & PCLS >25%, Is This A One Member Scheme Or Is There A Potential 'Transfer Buddy' (eg. partner)

N/A	NO	1 Mem Scheme	Transfer Buddy	Buddy pol. no: _____
Transfer buddy name: _____				

Total Funds Available

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Number Of Available Fund Management Groups (including provider)

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Maximum Number Of Funds That Can Be Held At Any One Time

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Cost of Fund Switches  
(Please give notes if applicable - eg "First switch per annum free then £15 per switch")

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Retirement Options Within Scheme  
(please circle any option available. NB. More than one option may be available)

Provider Annuity	Open Market Annuity	Scheme Pension	(U/A)SP (drawdown)
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Retirement Options Notes  
eg. "£100k minimum for drawdown, etc."

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Funds Held  
(PLEASE GIVE FULL FUND NAME AS APPEARS ON THE DOCUMENTATION - please give specifics if possible confusion may arise. Eg. AXA Sun Life managed fund - is this ex E&L or ex Sun Life, if With Profits, traditional or unit linked, etc.)

Fund Name	(if variable by fund, % projection rate used)	Percentage (to 2dps)
1.	( % )	%
2.	( % )	%
3.	( % )	%
4.	( % )	%
5.	( % )	%
6.	( % )	%
7.	( % )	%
8.	( % )	%
9.	( % )	%
10.	( % )	%
11.	( % )	%
12.	( % )	%
13.	( % )	%
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27.	( % )	%
28.	( % )	%
29.	( % )	%
30.	( % )	%
31.	( % )	%
32.	( % )	%

Other Policy Notes  
(include any other relevant information such as any guaranteed funds, with profits fund performance, etc.)

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Transfer Forms Received

Yes	
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PPFM  
(or CFPPFM)  
Received

N/A	Yes	
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# - POLICY 3 -

\*\*\*PLEASE SEPARATE POLICIES WITH PROTECTED AND NON-PROTECTED RIGHTS ELEMENTS INTO TWO POLICIES\*\*\*



Provider				
Policy Number				
Policy Retirement Age <small>(please state the actual retirement age to which the policy is written, not necessarily the age to which projections have been obtained)</small>				
Pension Type	PP GPP SHD GSHD RAC SIPP EPP (in SSAS) S32 AVC FSAVC CIMPS COMPS			
Protected or Non-Protected Rights <small>(If Protected Rights, ensure PAID UP projections are obtained)</small>	NPR		PR	
Gross Monthly Premium <small>(net of any life insurance payments, WOP, etc.) (IF RECEIVING CONTRACTED OUT NICO PAYMENTS, PLEASE JUST CHECK IF CONTRACTED OUT AND ENTER LAST ANNUAL NICO PAYMENT)</small>	£	Ee: _____	Er: _____	Last Annual NICO Amount: £ _____
Annual Premium (month paid) <small>(net of any life insurance payments, WOP, etc.)</small>	£	Ee: _____	Er: _____	Month Paid: _____
Premium Escalation (if applicable) <small>Actual Percentage, ie, not NAEI</small>	N/A _____ % pa			
Fund Value	N/A	£	Assumed from TV? Yes No	
Transfer Value	£			
Valuation Date	/ /			
Projection at 5% or enter % rate here ( _____ ) <small>(if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page) (ENSURE PROTECTED RIGHTS POLICIES ARE QUOTED PAID UP)</small>	£	Projected to age: _____		
Paid Up Projection at 5% or enter % rate here ( _____ ) <small>(if applicable) (if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page)</small>	N/A	£	Projected to age: _____	
Is Fund Projection Guaranteed?	Yes		No	
Death Benefit <small>(eg. Return of Fund, or return of premiums plus 5% per annum compound)</small>				
Occupational PCLS Entitlement	N/A	SSAS	25% Only	A-day PCLS %: _____ % A-day PCLS Value: £ _____ No transfers in/out since A-day (or) Current PCLS %: _____ %
If Occupational (EPP, CIMPS, COMPS), The Client Has Pension Scheme Administration Responsibilities	N/A		Yes	No
GAR (if so, then details)	No	_____ %	At age: _____	G'teed period: _____ yrs _____ life basis _____ % esc. Other bases/ages available: Yes No
MVR (if so, then details) <small>Give Details of any MVR Free Date', or if no MVR free date, please state</small>	No	Current MVR: £ _____	Factored into TV: Yes No	MVR Free date(s): _____
Early Retirement Penalties (if so, then details)	No			
Pension Contribution Insurance (if so, then details)	No	Cost pm: £ _____		Deferred period: _____ weeks
Life Insurance Element (if so, then details) <small>(IS IT POSSIBLE TO TRANSFER THIS POLICY AND MAINTAIN THE LIFE INSURANCE ELEMENT?)</small>	No	Cover: £ _____	Cost pm: £ _____	Possible to transfer & maintain: Yes No
GMP (if so, then details)	No	GMP p.a. at retirement: £ _____		Of which Pre-'88: £ _____ plus Post-'88: £ _____



Policy AMC  
(single % only - include any fund charges in single figure if stated)

	_____ %
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Additional Policy Charges Notes (if so, then details)

	No
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Any Additional 'Implicit' Charges (if so, then details)  
These are charges which will not be taken into account on the projections but are taken directly from the underlying fund performance, eg. Traditional With Profits and some other policies

	No
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If Occupational, Have These Benefits Been Transferred Since 06.04.06

N/A	Yes	No
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If Occupational & PCLS >25%, Is This A One Member Scheme Or Is There A Potential 'Transfer Buddy' (eg. partner)

N/A	NO	1 Mem Scheme	Transfer Buddy	Buddy pol. no: _____
Transfer buddy name: _____				

Total Funds Available

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Number Of Available Fund Management Groups (including provider)

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Maximum Number Of Funds That Can Be Held At Any One Time

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Cost of Fund Switches  
(Please give notes if applicable - eg "First switch per annum free then £15 per switch)

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Retirement Options Within Scheme  
(please circle any option available. NB. More than one option may be available)

Provider Annuity	Open Market Annuity	Scheme Pension	(U/A)SP (drawdown)
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Retirement Options Notes  
eg. "£100k minimum for drawdown, etc."

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Funds Held

(PLEASE GIVE FULL FUND NAME AS APPEARS ON THE DOCUMENTATION - please give specifics if possible confusion may arise. Eg. AXA Sun Life managed fund - is this ex E&L or ex Sun Life, if With Profits, traditional or unit linked, etc.)

	Fund Name	<small>(if variable by fund, % projection rate used)</small>	Percentage (to 2dps)
1.		( %)	%
2.		( %)	%
3.		( %)	%
4.		( %)	%
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23.		( %)	%
24.		( %)	%
25.		( %)	%
26.		( %)	%
27.		( %)	%
28.		( %)	%
29.		( %)	%
30.		( %)	%
31.		( %)	%
32.		( %)	%

Other Policy Notes  
(include any other relevant information such as any guaranteed funds, with profits fund performance, etc.)

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Transfer Forms Received

Yes	PPFM (or CFPPFM) Received	N/A      Yes
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# - POLICY 4 -

\*\*\*PLEASE SEPARATE POLICIES WITH PROTECTED AND NON-PROTECTED RIGHTS ELEMENTS INTO TWO POLICIES\*\*\*



Provider				
Policy Number				
Policy Retirement Age <small>(please state the actual retirement age to which the policy is written, not necessarily the age to which projections have been obtained)</small>				
Pension Type	PP GPP SHD GSHD RAC SIPP EPP (in SSAS) S32 AVC FSAVC CIMPS COMPS			
Protected or Non-Protected Rights <small>(If Protected Rights, ensure PAID UP projections are obtained)</small>	NPR		PR	
Gross Monthly Premium <small>(net of any life insurance payments, WOP, etc.) (IF RECEIVING CONTRACTED OUT NICO PAYMENTS, PLEASE JUST CIRCLE IF CONTRACTED OUT AND ENTER LAST ANNUAL NICO PAYMENT)</small>	£	Ee: _____	Er: _____	Last Annual NICO Amount: £ _____
Annual Premium (month paid) <small>(net of any life insurance payments, WOP, etc.)</small>	£	Ee: _____	Er: _____	Month Paid: _____
Premium Escalation (if applicable) <small>Actual Percentage, ie, not NAEI</small>	N/A		_____ % pa	
Fund Value	N/A	£	Assumed from TV? Yes No	
Transfer Value	£			
Valuation Date	/ /			
Projection at 5% or enter % rate here ( _____ ) <small>(if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page) (ENSURE PROTECTED RIGHTS POLICIES ARE QUOTED PAID UP)</small>	£	Projected to age: _____		
Paid Up Projection at 5% or enter % rate here ( _____ ) <small>(if applicable) (if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page)</small>	N/A	£	Projected to age: _____	
Is Fund Projection Guaranteed?	Yes		No	
Death Benefit <small>(eg. Return of Fund, or return of premiums plus 5% per annum compound)</small>				
Occupational PCLS Entitlement	N/A	SSAS	25% Only	A-day PCLS %: _____ % A-day PCLS Value: £ _____ No transfers in/out since A-day (or) Current PCLS %: _____ %
If Occupational (EPP, CIMPS, COMPS), The Client Has Pension Scheme Administration Responsibilities	N/A		Yes	No
GAR (if so, then details)	No	_____ %	At age: _____	G'teed period: _____ yrs _____ life basis _____ % esc. Other bases/ages available: Yes No
MVR (if so, then details) <small>Give Details of any MVR Free Date', or if no MVR free date, please state</small>	No	Current MVR: £ _____	Factored into TV: Yes No	MVR Free date(s): _____
Early Retirement Penalties (if so, then details)	No			
Pension Contribution Insurance (if so, then details)	No	Cost pm: £ _____		Deferred period: _____ weeks
Life Insurance Element (if so, then details) <small>(IS IT POSSIBLE TO TRANSFER THIS POLICY AND MAINTAIN THE LIFE INSURANCE ELEMENT?)</small>	No	Cover: £ _____	Cost pm: £ _____	Possible to transfer & maintain: Yes No
GMP (if so, then details)	No	GMP p.a. at retirement: £ _____		Of which Pre-'88: £ _____ plus Post-'88: £ _____



Policy AMC  
(single % only - include any fund charges in single figure if stated)

	_____ %
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Additional Policy Charges Notes (if so, then details)

	No
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Any Additional 'Implicit' Charges (if so, then details)  
These are charges which will not be taken into account on the projections but are taken directly from the underlying fund performance, eg. Traditional With Profits and some other policies

	No
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If Occupational, Have These Benefits Been Transferred Since 06.04.06

N/A	Yes	No
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If Occupational & PCLS >25%, Is This A One Member Scheme Or Is There A Potential 'Transfer Buddy' (eg. partner)

N/A	NO	1 Mem Scheme	Transfer Buddy	Buddy pol. no: _____			
Transfer buddy name: _____							

Total Funds Available

--	--

Number Of Available Fund Management Groups (including provider)

--	--

Maximum Number Of Funds That Can Be Held At Any One Time

--	--

Cost of Fund Switches  
(Please give notes if applicable - eg "First switch per annum free then £15 per switch)

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Retirement Options Within Scheme  
(please circle any option available. NB. More than one option may be available)

Provider Annuity	Open Market Annuity	Scheme Pension	(U/A)SP (drawdown)
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Retirement Options Notes  
eg. "£100k minimum for drawdown, etc."

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Funds Held

(PLEASE GIVE FULL FUND NAME AS APPEARS ON THE DOCUMENTATION - please give specifics if possible confusion may arise. Eg. AXA Sun Life managed fund - is this ex E&L or ex Sun Life, if With Profits, traditional or unit linked, etc.)

Fund Name	<small>(if variable by fund, % projection rate used)</small>				Percentage (to 2dps)
1.	( % )				%
2.	( % )				%
3.	( % )				%
4.	( % )				%
5.	( % )				%
6.	( % )				%
7.	( % )				%
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27.	( % )				%
28.	( % )				%
29.	( % )				%
30.	( % )				%
31.	( % )				%
32.	( % )				%

Other Policy Notes  
(include any other relevant information such as any guaranteed funds, with profits fund performance, etc.)

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Transfer Forms Received

Yes	
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PPFM  
(or CFPPFM)  
Received

N/A	Yes	
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# - POLICY 5 -

\*\*\*PLEASE SEPARATE POLICIES WITH PROTECTED AND NON-PROTECTED RIGHTS ELEMENTS INTO TWO POLICIES\*\*\*



Provider				
Policy Number				
Policy Retirement Age <small>(please state the actual retirement age to which the policy is written, not necessarily the age to which projections have been obtained)</small>				
Pension Type	PP GPP SHD GSHD RAC SIPP EPP (in SSAS) S32 AVC FSAVC CIMPS COMPS			
Protected or Non-Protected Rights <small>(If Protected Rights, ensure PAID UP projections are obtained)</small>	NPR		PR	
Gross Monthly Premium <small>(net of any life insurance payments, WOP, etc.) (IF RECEIVING CONTRACTED OUT NICO PAYMENTS, PLEASE JUST CHECK IF CONTRACTED OUT AND ENTER LAST ANNUAL NICO PAYMENT)</small>	£	Ee: _____	Er: _____	Last Annual NICO Amount: £ _____
Annual Premium (month paid) <small>(net of any life insurance payments, WOP, etc.)</small>	£	Ee: _____	Er: _____	Month Paid: _____
Premium Escalation (if applicable) <small>Actual Percentage, ie, not NAEI</small>	N/A _____ % pa			
Fund Value	N/A	£	Assumed from TV?	Yes No
Transfer Value	£			
Valuation Date	/ /			
Projection at 5% or enter % rate here ( _____ ) <small>(if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page) (ENSURE PROTECTED RIGHTS POLICIES ARE QUOTED PAID UP)</small>	£	Projected to age: _____		
Paid Up Projection at 5% or enter % rate here ( _____ ) <small>(if applicable) (if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page)</small>	N/A	£	Projected to age: _____	
Is Fund Projection Guaranteed?	Yes		No	
Death Benefit <small>(eg. Return of Fund, or return of premiums plus 5% per annum compound)</small>				
Occupational PCLS Entitlement	N/A	SSAS	25% Only	A-day PCLS %: _____ % A-day PCLS Value: £ _____ No transfers in/out since A-day (or) Current PCLS %: _____ %
If Occupational (EPP, CIMPS, COMPS), The Client Has Pension Scheme Administration Responsibilities	N/A		Yes	No
GAR (if so, then details)	No	_____ %	At age: _____	G'teed period: _____ yrs _____ life basis _____ % esc. Other bases/ages available: Yes No
MVR (if so, then details) <small>Give Details of any MVR Free Date', or if no MVR free date, please state</small>	No	Current MVR: £ _____	Factored into TV: Yes No	MVR Free date(s): _____
Early Retirement Penalties (if so, then details)	No			
Pension Contribution Insurance (if so, then details)	No	Cost pm: £ _____		Deferred period: _____ weeks
Life Insurance Element (if so, then details) <small>(IS IT POSSIBLE TO TRANSFER THIS POLICY AND MAINTAIN THE LIFE INSURANCE ELEMENT?)</small>	No	Cover: £ _____	Cost pm: £ _____	Possible to transfer & maintain: Yes No
GMP (if so, then details)	No	GMP p.a. at retirement: £ _____		Of which Pre-'88: £ _____ plus Post-'88: £ _____



Policy AMC  
(single % only - include any fund charges in single figure if stated)

	_____ %
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Additional Policy Charges Notes (if so, then details)

	No
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Any Additional 'Implicit' Charges (if so, then details)  
These are charges which will not be taken into account on the projections but are taken directly from the underlying fund performance, eg. Traditional With Profits and some other policies

	No
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If Occupational, Have These Benefits Been Transferred Since 06.04.06

N/A	Yes	No
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If Occupational & PCLS >25%, Is This A One Member Scheme Or Is There A Potential 'Transfer Buddy' (eg. partner)

N/A	NO	1 Mem Scheme	Transfer Buddy	Buddy pol. no: _____
Transfer buddy name: _____				

Total Funds Available

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Number Of Available Fund Management Groups (including provider)

--	--

Maximum Number Of Funds That Can Be Held At Any One Time

--	--

Cost of Fund Switches  
(Please give notes if applicable - eg "First switch per annum free then £15 per switch)

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Retirement Options Within Scheme  
(please circle any option available. NB. More than one option may be available)

Provider Annuity	Open Market Annuity	Scheme Pension	(U/A)SP (drawdown)
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Retirement Options Notes  
eg. "£100k minimum for drawdown, etc."

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Funds Held  
(PLEASE GIVE FULL FUND NAME AS APPEARS ON THE DOCUMENTATION - please give specifics if possible confusion may arise. Eg. AXA Sun Life managed fund - is this ex E&L or ex Sun Life, if With Profits, traditional or unit linked, etc.)

Fund Name	<small>(if variable by fund, % projection rate used)</small>	Percentage (to 2dps)
1.	( %)	%
2.	( %)	%
3.	( %)	%
4.	( %)	%
5.	( %)	%
6.	( %)	%
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30.	( %)	%
31.	( %)	%
32.	( %)	%

Other Policy Notes  
(include any other relevant information such as any guaranteed funds, with profits fund performance, etc.)

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Transfer Forms Received

Yes	
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PPFM  
(or CFPPFM)  
Received

N/A	Yes	
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# - POLICY 6 -

\*\*\*PLEASE SEPARATE POLICIES WITH PROTECTED AND NON-PROTECTED RIGHTS ELEMENTS INTO TWO POLICIES\*\*\*



Provider				
Policy Number				
Policy Retirement Age <small>(please state the actual retirement age to which the policy is written, not necessarily the age to which projections have been obtained)</small>				
Pension Type	PP GPP SHD GSHD RAC SIPP EPP (in SSAS) S32 AVC FSAVC CIMPS COMPS			
Protected or Non-Protected Rights <small>(If Protected Rights, ensure PAID UP projections are obtained)</small>	NPR		PR	
Gross Monthly Premium <small>(net of any life insurance payments, WOP, etc.) (IF RECEIVING CONTRACTED OUT NICO PAYMENTS, PLEASE JUST CHECK IF CONTRACTED OUT AND ENTER LAST ANNUAL NICO PAYMENT)</small>	£	Ee: _____	Er: _____	Last Annual NICO Amount: £ _____
Annual Premium (month paid) <small>(net of any life insurance payments, WOP, etc.)</small>	£	Ee: _____	Er: _____	Month Paid: _____
Premium Escalation (if applicable) <small>Actual Percentage, ie, not NAEI</small>	N/A _____ % pa			
Fund Value	N/A	£	Assumed from TV? Yes No	
Transfer Value	£			
Valuation Date	/ /			
Projection at 5% or enter % rate here (_____) <small>(if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page) (ENSURE PROTECTED RIGHTS POLICIES ARE QUOTED PAID UP)</small>	£	Projected to age: _____		
Paid Up Projection at 5% or enter % rate here (_____) <small>(if applicable) (if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page)</small>	N/A	£	Projected to age: _____	
Is Fund Projection Guaranteed?	Yes		No	
Death Benefit <small>(eg. Return of Fund, or return of premiums plus 5% per annum compound)</small>				
Occupational PCLS Entitlement	N/A	SSAS	25% Only	A-day PCLS %: _____ % A-day PCLS Value: £ _____ No transfers in/out since A-day (or) Current PCLS %: _____ %
If Occupational (EPP, CIMPS, COMPS), The Client Has Pension Scheme Administration Responsibilities	N/A		Yes	No
GAR (if so, then details)	No	_____ %	At age: _____	G'teed period: _____ yrs _____ life basis _____ % esc. Other bases/ages available: Yes No
MVR (if so, then details) <small>Give Details of any MVR Free Date', or if no MVR free date, please state</small>	No	Current MVR: £ _____	Factored into TV: Yes No	MVR Free date(s): _____
Early Retirement Penalties (if so, then details)	No			
Pension Contribution Insurance (if so, then details)	No	Cost pm: £ _____		Deferred period: _____ weeks
Life Insurance Element (if so, then details) <small>(IS IT POSSIBLE TO TRANSFER THIS POLICY AND MAINTAIN THE LIFE INSURANCE ELEMENT?)</small>	No	Cover: £ _____	Cost pm: £ _____	Possible to transfer & maintain: Yes No
GMP (if so, then details)	No	GMP p.a. at retirement: £ _____		Of which Pre-'88: £ _____ plus Post-'88: £ _____



Policy AMC  
(single % only - include any fund charges in single figure if stated)

	_____ %
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Additional Policy Charges Notes (if so, then details)

	No
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Any Additional 'Implicit' Charges (if so, then details)  
These are charges which will not be taken into account on the projections but are taken directly from the underlying fund performance, eg. Traditional With Profits and some other policies

	No
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If Occupational, Have These Benefits Been Transferred Since 06.04.06

N/A	Yes	No
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If Occupational & PCLS >25%, Is This A One Member Scheme Or Is There A Potential 'Transfer Buddy' (eg. partner)

N/A	NO	1 Mem Scheme	Transfer Buddy	Buddy pol. no: _____				
Transfer buddy name: _____								

Total Funds Available

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Number Of Available Fund Management Groups (including provider)

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Maximum Number Of Funds That Can Be Held At Any One Time

--	--

Cost of Fund Switches  
(Please give notes if applicable - eg "First switch per annum free then £15 per switch)

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Retirement Options Within Scheme  
(please circle any option available. NB. More than one option may be available)

Provider Annuity	Open Market Annuity	Scheme Pension	(U/A)SP (drawdown)
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Retirement Options Notes  
eg. "£100k minimum for drawdown, etc."

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Funds Held  
(PLEASE GIVE FULL FUND NAME AS APPEARS ON THE DOCUMENTATION - please give specifics if possible confusion may arise. Eg. AXA Sun Life managed fund - is this ex E&L or ex Sun Life, if With Profits, traditional or unit linked, etc.)

Fund Name	<small>(if variable by fund, % projection rate used)</small>	Percentage (to 2dps)
1.	( %)	%
2.	( %)	%
3.	( %)	%
4.	( %)	%
5.	( %)	%
6.	( %)	%
7.	( %)	%
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25.	( %)	%
26.	( %)	%
27.	( %)	%
28.	( %)	%
29.	( %)	%
30.	( %)	%
31.	( %)	%
32.	( %)	%

Other Policy Notes  
(include any other relevant information such as any guaranteed funds, with profits fund performance, etc.)

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Transfer Forms Received

Yes	
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PPFM  
(or CFPPFM)  
Received

N/A	Yes	
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# - POLICY 7 -

\*\*\*PLEASE SEPARATE POLICIES WITH PROTECTED AND NON-PROTECTED RIGHTS ELEMENTS INTO TWO POLICIES\*\*\*



Provider				
Policy Number				
Policy Retirement Age <small>(please state the actual retirement age to which the policy is written, not necessarily the age to which projections have been obtained)</small>				
Pension Type	PP GPP SHD GSHD RAC SIPP EPP (in SSAS) S32 AVC FSAVC CIMPS COMPS			
Protected or Non-Protected Rights <small>(If Protected Rights, ensure PAID UP projections are obtained)</small>	NPR		PR	
Gross Monthly Premium <small>(net of any life insurance payments, WOP, etc.) (IF RECEIVING CONTRACTED OUT NICO PAYMENTS, PLEASE JUST CHECK IF CONTRACTED OUT AND ENTER LAST ANNUAL NICO PAYMENT)</small>	£	Ee: _____	Er: _____	Last Annual NICO Amount: £ _____
Annual Premium (month paid) <small>(net of any life insurance payments, WOP, etc.)</small>	£	Ee: _____	Er: _____	Month Paid: _____
Premium Escalation (if applicable) <small>Actual Percentage, ie, not NAEI</small>	N/A _____ % pa			
Fund Value	N/A	£	Assumed from TV? Yes No	
Transfer Value	£			
Valuation Date	/ /			
Projection at 5% or enter % rate here (_____) <small>(if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page) (ENSURE PROTECTED RIGHTS POLICIES ARE QUOTED PAID UP)</small>	£	Projected to age: _____		
Paid Up Projection at 5% or enter % rate here (_____) <small>(if applicable) (if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page)</small>	N/A	£	Projected to age: _____	
Is Fund Projection Guaranteed?	Yes		No	
Death Benefit <small>(eg. Return of Fund, or return of premiums plus 5% per annum compound)</small>				
Occupational PCLS Entitlement	N/A	SSAS	25% Only	A-day PCLS %: _____ % A-day PCLS Value: £ _____ No transfers in/out since A-day (or) Current PCLS %: _____ %
If Occupational (EPP, CIMPS, COMPS), The Client Has Pension Scheme Administration Responsibilities	N/A		Yes	No
GAR (if so, then details)	No	_____ %	At age: _____	G'teed period: _____ yrs _____ life basis _____ % esc. Other bases/ages available: Yes No
MVR (if so, then details) <small>Give Details of any MVR Free Date', or if no MVR free date, please state</small>	No	Current MVR: £ _____	Factored into TV: Yes No	MVR Free date(s): _____
Early Retirement Penalties (if so, then details)	No			
Pension Contribution Insurance (if so, then details)	No	Cost pm: £ _____		Deferred period: _____ weeks
Life Insurance Element (if so, then details) <small>(IS IT POSSIBLE TO TRANSFER THIS POLICY AND MAINTAIN THE LIFE INSURANCE ELEMENT?)</small>	No	Cover: £ _____	Cost pm: £ _____	Possible to transfer & maintain: Yes No
GMP (if so, then details)	No	GMP p.a. at retirement: £ _____ Of which Pre-'88: £ _____ plus Post-'88: £ _____		



Policy AMC  
(single % only - include any fund charges in single figure if stated)

	_____ %
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Additional Policy Charges Notes (if so, then details)

No
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Any Additional 'Implicit' Charges (if so, then details)  
These are charges which will not be taken into account on the projections but are taken directly from the underlying fund performance, eg. Traditional With Profits and some other policies

No
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If Occupational, Have These Benefits Been Transferred Since 06.04.06

N/A	Yes	No
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If Occupational & PCLS >25%, Is This A One Member Scheme Or Is There A Potential 'Transfer Buddy' (eg. partner)

N/A	NO	1 Mem Scheme	Transfer Buddy	Buddy pol. no: _____
				Transfer buddy name: _____

Total Funds Available

--

Number Of Available Fund Management Groups (including provider)

--

Maximum Number Of Funds That Can Be Held At Any One Time

--

Cost of Fund Switches  
(Please give notes if applicable - eg "First switch per annum free then £15 per switch)

--

Retirement Options Within Scheme  
(please circle any option available. NB. More than one option may be available)

Provider Annuity	Open Market Annuity	Scheme Pension	(U/A)SP (drawdown)
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Retirement Options Notes  
eg. "£100k minimum for drawdown, etc."

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Funds Held

(PLEASE GIVE FULL FUND NAME AS APPEARS ON THE DOCUMENTATION - please give specifics if possible confusion may arise. Eg. AXA Sun Life managed fund - is this ex E&L or ex Sun Life, if With Profits, traditional or unit linked, etc.)

Fund Name	<small>(if variable by fund, % projection rate used)</small>				Percentage (to 2dps)
1.	( % )				%
2.	( % )				%
3.	( % )				%
4.	( % )				%
5.	( % )				%
6.	( % )				%
7.	( % )				%
8.	( % )				%
9.	( % )				%
10.	( % )				%
11.	( % )				%
12.	( % )				%
13.	( % )				%
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16.	( % )				%
17.	( % )				%
18.	( % )				%
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25.	( % )				%
26.	( % )				%
27.	( % )				%
28.	( % )				%
29.	( % )				%
30.	( % )				%
31.	( % )				%
32.	( % )				%

Other Policy Notes  
(include any other relevant information such as any guaranteed funds, with profits fund performance, etc.)

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Transfer Forms Received

Yes
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PPFM  
(or CFPPFM)  
Received

N/A	Yes
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**- POLICY 8 -**



\*\*\*PLEASE SEPARATE POLICIES WITH PROTECTED AND NON-PROTECTED RIGHTS ELEMENTS INTO TWO POLICIES\*\*\*

Provider	
Policy Number	
Policy Retirement Age <small>(please state the actual retirement age to which the policy is written, not necessarily the age to which projections have been obtained)</small>	
Pension Type	PP GPP SHD GSHD RAC SIPP EPP (in SSAS) S32 AVC FSAVC CIMPS COMPS
Protected or Non-Protected Rights <small>(If Protected Rights, ensure PAID UP projections are obtained)</small>	NPR PR
Gross Monthly Premium <small>(net of any life insurance payments, WOP, etc.) (IF RECEIVING CONTRACTED OUT NICO PAYMENTS, PLEASE JUST CHECK IF CONTRACTED OUT AND ENTER LAST ANNUAL NICO PAYMENT)</small>	£ Ee: _____ Er: _____ Not Contracted Out Contracted Out Last Annual NICO Amount: £ _____
Annual Premium (month paid) <small>(net of any life insurance payments, WOP, etc.)</small>	£ Ee: _____ Er: _____ Month Paid: _____
Premium Escalation (if applicable) <small>Actual Percentage, ie, not NAEI</small>	N/A _____ % pa
Fund Value	N/A £ Assumed from TV? Yes No
Transfer Value	£
Valuation Date	/ /
Projection at 5% or enter % rate here (_____) <small>(if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page) (ENSURE PROTECTED RIGHTS POLICIES ARE QUOTED PAID UP)</small>	£ Projected to age: _____
Paid Up Projection at 5% or enter % rate here (_____) <small>(if applicable) (if not 5%, please specify rate used or enter 'variable' and enter the variable rate used for each fund in the funds list over the page)</small>	N/A £ Projected to age: _____
Is Fund Projection Guaranteed?	Yes No
Death Benefit <small>(eg. Return of Fund, or return of premiums plus 5% per annum compound)</small>	
Occupational PCLS Entitlement	N/A SSAS 25% Only A-day PCLS %: _____ % A-day PCLS Value: £ _____ No transfers in/out since A-day (or) Current PCLS %: _____ %
If Occupational (EPP, CIMPS, COMPS), The Client Has Pension Scheme Administration Responsibilities	N/A Yes No
GAR (if so, then details)	No _____ % At age: _____ G'eed period: _____ yrs _____ life basis _____ % esc. Other bases/ages available: Yes No
MVR (if so, then details) <small>Give Details of any MVR Free Date', or if no MVR free date, please state</small>	No Current MVR: £ _____ Factored into TV: Yes No MVR Free date(s): _____
Early Retirement Penalties (if so, then details)	No
Pension Contribution Insurance (if so, then details)	No Cost pm: £ _____ Deferred period: _____ weeks
Life Insurance Element (if so, then details) <small>(IS IT POSSIBLE TO TRANSFER THIS POLICY AND MAINTAIN THE LIFE INSURANCE ELEMENT?)</small>	No Cover: £ _____ Cost pm: £ _____ Possible to transfer & maintain: Yes No
GMP (if so, then details)	No GMP p.a. at retirement: £ _____ Of which Pre-'88: £ _____ plus Post-'88: £ _____



Policy AMC  
(single % only - include any fund charges in single figure if stated)

	_____ %
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Additional Policy Charges Notes (if so, then details)

No
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Any Additional 'Implicit' Charges (if so, then details)  
These are charges which will not be taken into account on the projections but are taken directly from the underlying fund performance, eg. Traditional With Profits and some other policies

No
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If Occupational, Have These Benefits Been Transferred Since 06.04.06

N/A	Yes	No
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If Occupational & PCLS >25%, Is This A One Member Scheme Or Is There A Potential 'Transfer Buddy' (eg. partner)

N/A	NO	1 Mem Scheme	Transfer Buddy	Buddy pol. no: _____
Transfer buddy name: _____				

Total Funds Available

--

Number Of Available Fund Management Groups (including provider)

--

Maximum Number Of Funds That Can Be Held At Any One Time

--

Cost of Fund Switches  
(Please give notes if applicable - eg \*First switch per annum free then £15 per switch)

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Retirement Options Within Scheme  
(please circle any option available. NB. More than one option may be available)

Provider Annuity	Open Market Annuity	Scheme Pension	(U/A)SP (drawdown)
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Retirement Options Notes  
eg. \*£100k minimum for drawdown, etc.\*

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Funds Held  
(PLEASE GIVE FULL FUND NAME AS APPEARS ON THE DOCUMENTATION - please give specifics if possible confusion may arise. Eg. AXA Sun Life managed fund - is this ex E&L or ex Sun Life, if With Profits, traditional or unit linked, etc.)

Fund Name	(if variable by fund, % projection rate used)	Percentage (to 2dps)
1.	( % )	%
2.	( % )	%
3.	( % )	%
4.	( % )	%
5.	( % )	%
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27.	( % )	%
28.	( % )	%
29.	( % )	%
30.	( % )	%
31.	( % )	%
32.	( % )	%

Other Policy Notes  
(include any other relevant information such as any guaranteed funds, with profits fund performance, etc.)

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Transfer Forms Received

Yes
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PPFM  
(or CFPPFM)  
Received

N/A	Yes
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